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#### MESSAGE

RE:

U.S. Patent Application of Jianren Mao et al.

Entitled:

METHOD AND APPARATUS FOR PATIENT-CONTROLLED MEDICATION

**DELIVERY** 

Filed on:

April 15, 2004

U.S. Appl. No.: 10/825,069

Our Ref. No.:

MGH-026PUS

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					10/825,069
TRANSMITTAL FORM		Filing Date		April 15, 2004 Jianren Mao	
		First Named Inventor			
I ·		Art Unit			3763
(to be used for all correspondence after initial	Examiner Name		Not Yet Assigned		
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Information Disclosure Statement		Request for Refund listed (3 enclosed)			
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PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/825,069

Confirmation No.: 7148

**Applicant** Filed

Jianren Mao et al.

April 15, 2004

T.C./A.U. Examiner

3763 Not Yet Assigned

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Docket No.

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bristopher S. Daly Reg. No. 37,303

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO form PTO/SB/08A (Formerly #1449). The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[ ] The enclosed Information Disclosure Statement is being filed: within three months (1) of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

Application No.:10/825,069

Attorney Docket No.: MGH-026PUS

[ ] (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in 37 C.F.R. § 1.17(p).

### SUBMISSION UNDER 37 C.F.R. § 1.97(c)

#### INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[ ] (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

### SUBMISSION UNDER 37 C.F.R. § 1.97(c) INCLUDING A STATEMENT UNDER 37 C.F.R. § 1. 97(e)(2)

[ ] (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Application No.: 10/825,069

Attorney Docket No.: MGH-026PUS

## SUBMISSION UNDER 37 C.F.R. § 1.97(c) INCLUDING STATEMENTS UNDER 37 C.F.R. §§ 1.704(d) AND 1.97(e)(1)

[X] (5) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by statements under 37 C.F.R. §§ 1.704(d) and 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement and was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the accompanying Information Disclosure Statement.

## SUBMISSION UNDER 37 C.F.R. § 1.97(d) INCLUDING A STATEMENT UNDER 37 C.F.R. §1.97(e)(1)

[ ] (6) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

# SUBMISSION UNDER 37 C.F.R. § 1.97(d) INCLUDING A STATEMENT UNDER 37 C.F.R. §1.97(e)(2)

[ ] (7) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Application No.:10/825,069

Attorney Docket No.: MGH-026PUS

#### SUBMISSION UNDER 37 C.F.R. § 1.97(d)

#### INCLUDING STATEMENTS UNDER 37 C.F.R. §§ 1.704(d) AND 1.97(e)(1)

[ ] (8) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by statements under 37 C.F.R. §§ 1.704(d) and 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement and was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

Application No.:10/825,069

Attorney Docket No.: MGH-026PUS

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY, MOFFORD & DURKEE, LLP

By:

Christopher S/Daly Reg. No. 37,303

Attorney for Applicant(s) 275 Tumpike Street, Suite 101 Canton, MA 02021-2354 Tel.: (781) 401-9988, ext. 11

Fax: (781) 401-9966 csd@dc-m.com

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PTO/SB/08A (02-03)

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Complete if Known Substitute for form 1449/PTO Application Number 10/825,069 Filing Date April 15, 2004 INFORMATION DISCLOSURE First Named Inventor Jianren Mao STATEMENT BY APPLICANT Art Unit 3763 (Usc as many sheets as necessary) **Exeminer Name** Not Yet Assigned Sheet of Attorney Docket Number MGH-026PUS

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.	Document Number  Number-Kind Codo <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Whome Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,695,954	09/22/1987	Rose et al.	<u> </u>
		us- 5,625,334	04/29/1997	Compton	
		<sup>US-</sup> 2003/0052135 A1	03/20/2003	Conley	-
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		FORE	IGN PATENT DOCI	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>4</sup> (# known)	MM-DD-YYYY		Or Rolevant Figures Appear	₩.
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				Application Number	10/825,069		
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STA	STATEMENT BY APPLICANT			First Named Inventor	Jianren Mao		
(Uso as many sheets as necessary)				Art Unit	3763		
				Examiner Name	Not Yet Assigned		
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		PCT Search Report; PCT/US2004/042443; dated April 21, 2005 (Atty. Dckt. No. MGH-026PWO)	
		PCT Written Opinion of the ISA; PCT/US2004/042443; dated April 21, 2005 (Atty. Dckt. No. MGH-025PWO)	

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